



U.S. Department of Transportation
Federal Highway Administration
FHWA-NC Division

FHWA-NC Oversight of Federal-aid Projects and other Topics

Bradley Hibbs

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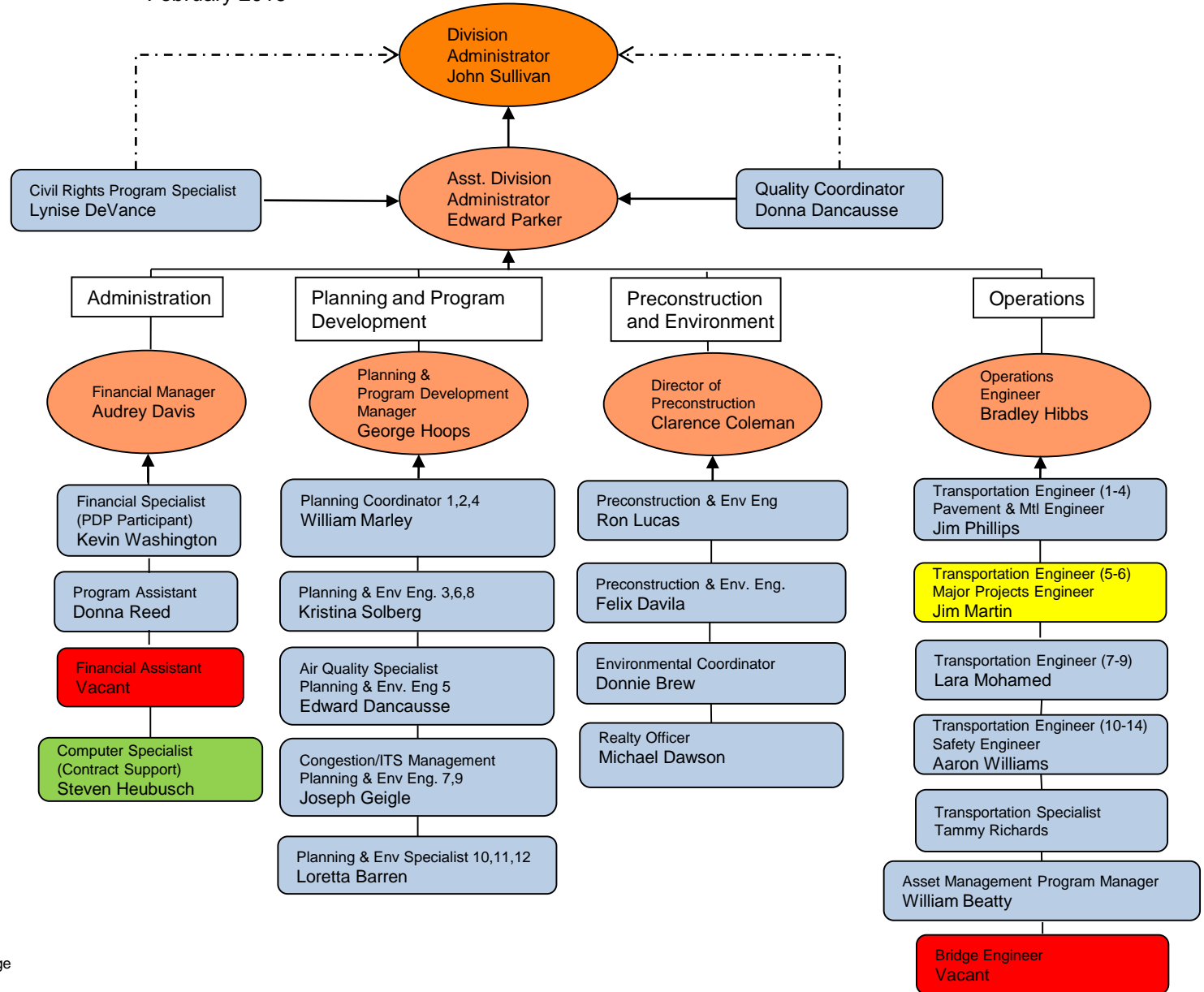


Quick Topics

- FHWA-NC Division Current Staffing
- 2019 Performance Year Federal-aid Oversight Actions
- Emergency Relief for Hurricanes Florence and Michael
- FHWA Action on Indefinite Delivery/Indefinite Quantity Contracts

FHWA North Carolina Division Organization Chart

February 2016

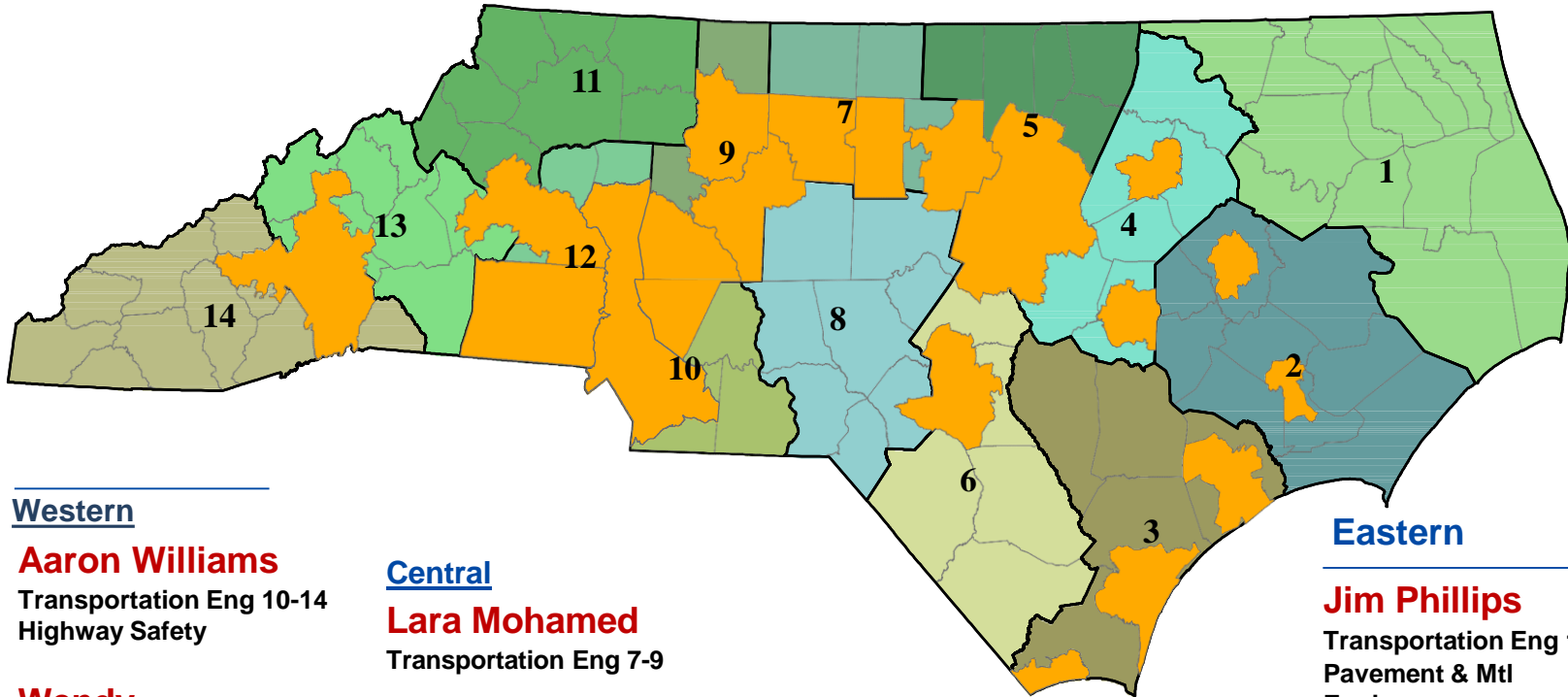


** HQs Office of Bridge Technology

Bridge Safety Engineer
Thomas Drda

** - Alternate Duty Station Location Employee. Reports to the Office of Bridge Technology in HQs.

North Carolina Division, Federal Highway Administration Operations Team



Western

Aaron Williams

Transportation Eng 10-14
Highway Safety

**Wendy
McAbee**

Bridge
Engineer

Central

Lara Mohamed

Transportation Eng 7-9

Tammy Richards

Local Projects

Eastern

Jim Phillips

Transportation Eng 1-4
Pavement & Mtl
Engineer

Jim Martin

Transportation Eng 5-6
Major Projects Engineer

Will Beatty

Asset Management
Emergency Relief

Risk Based Oversight for Federal-aid

- **Goal:** optimize the successful delivery of programs and projects that comply with Federal requirements
- **Compliance Assessment Program (CAP) Reviews:** Assess a statistically valid sample of projects to inform the FHWA, with an acceptable level of certainty, of the degree of compliance
- **Project of Division Interest (PODI):** Projects that have an elevated risk, contain elements of higher risk, or present a meaningful opportunity for FHWA involvement to enhance meeting program or project objectives
- **Program and Process Reviews**

2019 CAP Review for NCDOT

56 Randomly Selected Projects

Division 1: (1 project)

Division 2: (3 projects)

Division 3: (4 projects)

Division 4: (2 projects)

Division 5: (8 projects)

Division 6: (6 projects)

Division 7: (4 projects)

Division 8: (1 project)

Division 9: (4 projects)

Division 10: (8 projects)

Division 11: (2 projects)

Division 12: (2 projects)

Division 13: (8 projects)

Division 14: (2 projects)

Statewide: (1 project)

2019 CAP Review Core Questions

- Q1: Do project records document NCDOT verified the eligibility of the prime contractor
- Q2: Form FHWA-1273 present in subcontracts
- Q3: Bids publicly opened and announced
- Q4: Construction awarded by competitive bid
- Q5: Bidding documents free of a requirement for the contractor to obtain a license before submission of a bid or before the bid may be considered for award of a contract

2019 CAP Review Core Questions

- Q6: Standardized changed conditions contract clause for differing site conditions included verbatim in the contract
- Q7: Standardized changed conditions contract clause for suspension of work included verbatim in the contract
- Q8: Successful bidder file statement on collusion
- Q9: Non-collusion provision included in bid documents
- Q10: NEPA valid before authorization

2019 PODI and Program Reviews

- Current PODI Projects = 14
 - All projects on NC-12
 - TIGER/BUILD/INFRA Grant Projects
 - APD Projects
 - All Major Projects (+\$500 Million)
- Program Reviews: Utility Delays, ER Sites
- Federal-aid Project Development Procedure (future training)

Emergency Relief Funding: Florence (and Michael)

- Eligible Items
- Ineligible Items
- Emergency Repairs (first 30-days)
- Permanent Repairs (normal contract administration)
- Resilient Repairs vs. Betterments
- Repair site documentation
 - Wage Rates
 - State Representative or Inspector
 - Payment Documentation
 - Record Retention

FHWA Requirements for ID/IQ Contracting

- ID/IQ = Indefinite Delivery/ Indefinite Quantity
- From 2007 to the present, FHWA has allowed ID/IQ under Special Experimental Project No. 14 (SEP-14) authority
- Federal Register Notice and Request for Comments to operationalize ID/IQ contracts (May 2, 2018)
- Advance Notice of Proposed Rulemaking (June 26, 2018)
- States no longer need to submit an SEP-14 work plan for “low-cost” competitively bid ID/IQ



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Questions

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